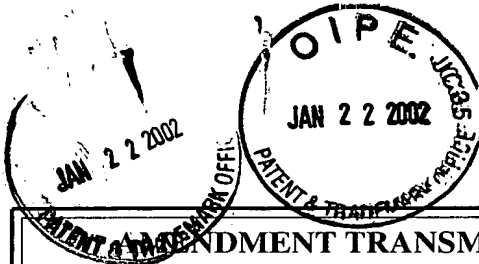


2152



|  |                                  |
|--|----------------------------------|
| <b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b> | <b>Docket No.</b><br>APP 1237-US |
|--|----------------------------------|

Applicant(s): Thomas Clyde Banwell, et al.

|                                 |                                  |                                     |                               |
|---------------------------------|----------------------------------|-------------------------------------|-------------------------------|
| <b>Serial No.</b><br>09/771,313 | <b>Filing Date</b><br>01/27/2001 | <b>Examiner</b><br>Not Yet Assigned | <b>Group Art Unit</b><br>2152 |
|---------------------------------|----------------------------------|-------------------------------------|-------------------------------|

Invention: **PHYSICAL LAYER AUTO-DISCOVERY FOR MANAGEMENT OF NETWORK ELEMENTS**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

|  | CLAIMS REMAINING<br>AFTER AMENDMENT | HIGHEST #<br>PREV. PAID FOR | NUMBER EXTRA<br>CLAIMS PRESENT | RATE    | ADDITIONAL<br>FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| TOTAL CLAIMS   | 12 -                                | 20 =                        | 0 x                            | \$18.00 | \$0.00            |
| INDEP. CLAIMS  | 3 -                                 | 3 =                         | 0 x                            | \$84.00 | \$0.00            |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                     |                             |                                |         | \$0.00            |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>                           |                                     |                             |                                |         | <b>\$0.00</b>     |

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: DECEMBER 20, 2001

James W. Falk, Esq. (Reg. No. 16,154)  
Telcordia Technologies, Inc.  
445 South Street, Room 1G-112R  
Morristown, New Jersey 07960

I certify that this document and fee is being deposited on 12/20/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Linda K. Adams*  
Signature of Person Mailing Correspondence

Linda K. Adams

Typed or Printed Name of Person Mailing Correspondence

CC:



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**Applicants:** Banwell-Cheung-Madon  
**Serial No.:** 09/771,313  
**Filed:** January 27, 2001  
**Group Art Unit:** 2152  
**Examiner:** Not Yet Assigned  
**Title:** Physical Layer Auto-Discovery for Management of Network Elements

**The Commissioner of Patents**  
**Washington, D.C. 20231**

**PRELIMINARY AMENDMENT**

Sir:

Prior to the Examination of the above-identified application, please amend the application as follows:

**In the Substitute Specification:**

Please replace the paragraph beginning at page 5, line 23, with the following rewritten paragraph:

-- FIGS. 5A and 5B depict the method steps of a network management system initiated update in accordance with an aspect of our invention; and --